Fiction vs Friction: Challenges in Evaluating LLMs on Data Visualization Tasks

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ABSTRACT

Large language models (LLMs) are often marketed as all-purpose tools, capable of assisting users with a variety of tasks. This has led to the use of LLMs across domains and tasks, and has exposed some fundamental limitations of LLMs. For data visualization tasks (where an LLM is asked to create a visualization or answer a question with a visualization), we call out challenges associated with query specification and the difficulty of verifying results. Differently phrased queries may have the same analytic goal, while similarly phased queries may lead to dramatically different results. Add to this the plethora of visualization guidelines and design choices, and the complexity of evaluating LLMs on data visualization tasks grows quickly. While correct and credible answers take time to sort out, plausible-looking, but limited, hallucinated, or otherwise incorrect model responses are instant and ubiquitous. We explore the challenges associated with this space, and call for consideration of combinations of techniques to spot check model responses and surface errors.

CCS CONCEPTS

• Human-centered computing → Empirical studies in HCI; HCI theory, concepts and models; HCI design and evaluation methods; Visualization; Visualization design and evaluation methods; Empirical studies in visualization.

KEYWORDS

Large language models, Machine learning, Evaluation, Data visualization, Error characterization, Benchmarking, Human-centered AI, Explainability

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1 INTRODUCTION

Large language models (LLMs) have been touted as inherently context-independent, all purpose tools, trained on a wide swath

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of information from different sources and capable of a multitude of tasks [32, 36, 40, 42]. The argument goes that the bulk of human knowledge can lead to models that excel at a range of tasks, well beyond the purview of previous natural language processing (NLP) models. Log in to OpenAI to use ChatGPT today and you may see the following pop-up message: "Meet the o3-mini family. Introducing o3-mini and o3-mini-high - two new reasoning models that excel at coding, science, and anything else that takes a little more thinking." This issue of fit for purpose, both in the sense that LLMs are treated as all-purpose models when they aren't, and that LLM evaluations can't be generalized to meet this broad use, represents a major limitation of LLM evaluation. Many evaluations and audit processes applied to large language models (LLMs) assume a specific human-facing task, like data visualization (e.g. nvBench), or domain, like employment (e.g. NYLL 144). The result is that model testing, evaluation, validation, and verification diverge in fit and scope from the general-purpose nature of LLMs.

Here we focus on a specific challenge in the context of LLMs for visualization: LLMs have a propensity to generate seemingly plausible answers which sometimes turn out to be wrong [19, 23, 25]. This represents another dimension of difficulty for evaluation because LLM errors can sometimes seem subtle and difficult to identify. This is especially concerning in LLM-enabled data visualization (LLM4VIS), since people often take data visualizations at face value [10, 37] and may not have the time, resources, or ability to verify results.

McNutt et al. [31] discuss how a visualization may look plausible, but lead to incorrect conclusions. These "visualization mirages" may be purposefully or inadvertently developed, and require critical thinking on behalf of a user to identify. This is true whether or not an LLM is used to generate a data visualization, but the complex and often opaque nature of LLMs further complicates surfacing errors. Next, we look at available evaluation techniques. In balance with the time and effort required to evaluate LLM4VIS, and considering that plausible-looking, but incorrect model responses are instant and ubiquitous, we discuss their applicability and limitations. For us, this problem, which involves both Fiction (plausible-looking but incorrect LLM responses) and Friction (the time and effort required to verify LLM responses), is central to LLM4VIS work, as the tension between the two may dictate the likelihood of users bothering to check a provided response. This leads to a balancing act for LLM4VIS evaluation: surface fiction without causing too much friction in the process. While these issues are not necessarily unique to LLM4VIS, this is a space where users have to balance semantic interpretation and validation with verification of a representation that inherently abstracts away a certain level of detail.

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2 TRADITIONAL PERFORMANCE MEASUREMENT TECHNIQUES

Many have studied LLM evaluation and auditing practices through various lenses. In financial, medical, education, and other fieldspecific applications, performance metrics, benchmarks, audits, and other approaches are used to evaluate LLMs. These help to identify and quantify LLM strengths and weaknesses, though each come with their own limitations. These limits may reflect a focus on a specific task or domain, as well as limitations inherent to the method applied. Here we review various evaluation approaches used in LLM4VIS, along with their limitations.

2.1 LLM Metrics

Different metrics have been used to evaluate LLM outputs, including measurements of accuracy, measurements of ethical thresholds including privacy protection, misinformation reduction, fairness, and transparency, measures of fairness including bias mitigation, and measures of robustness including resistance to manipulation and attacks [21]. Hu et al. [21] divide LLM metrics into three categories: 1) multiple-classification metrics which evaluate an LLM's ability to classify text into multiple groups; 2) Token-similarity metrics which evaluate how well the LLM-generated text aligns with reference text; and 3) Question-answering metrics which evaluate LLMs specifically on question-answering tasks. Multiple classification metrics may include accuracy, precision, recall, and F1 score. Token-similarity metrics may include Perplexity, Bilingual Evaluation Understudy (BLEU), Recall-Oriented Understudy for Gisting Evaluation (ROUGE) 1 or 2, ROUGE-L, BertScore, and Metric for Evaluation of Translation with Explicit Ordering (METEOR). Question-answering metrics may include Strict Accuracy (SaCC), Lenient Accuracy (LaCC), and Mean Reciprocal Rank (MRR).

The highly structured and focused nature of these metrics limit their generalizability, and may also differ from real-world scenarios and use cases. Many focus solely on the text of a response, which limits their utility in evaluating LLM4VIS. These metrics also provide a narrow and potentially misleading picture of performance, and metrics can be gamed [4, 27, 49]. Applying these metrics to data visualizations is additionally complicated by the fact that many paths may lead to the same correct answer and a variety of very different resulting visualizations may be correct for the same query.

2.2 Benchmarks

Many benchmarks have been developed to evaluate LLM performance on specific tasks or sets of tasks, including math [22], reasoning [41, 60], multilingual reasoning [45], judgment [63], coding [64], function-calling [39], and multitask accuracy [18].

Benchmarks which evaluate LLMs on data visualization tasks often focus on specific visualizations, query types, tasks, and domains, limiting their generalizability [9, 14, 30, 46, 61]. While these benchmarks enable improved testing of LLMs on various data visualization tasks, some limit which aspects of an LLM response is evaluated (e.g. the visualization, the code used to generate the visualization, the provided explanation) and others limit what types of charts and queries can be tested (e.g. bar charts, scatterplots, etc.). Ford et al. show that LLM-generated charts do not match the accuracy of non-LLM-generated charts based on VQA performance measures [13]. LLMs have also been tested on visual literacy tasks. These tests, including variations of the Visualization Literacy Assessment Test (VLAT), highlight several model limitations, though authors note limitations to this testing, including the potential impacts of model prior knowledge, prompt engineering, and the limits of the test set (8 tasks, 12 data visualizations) [7, 20, 28, 38, 43].

Noted benchmark limitations, beyond those related to narrow applicability, include issues with reliability [52], consistency [58], and other complex failure states [34], as well as benchmark exploitation, dataset contamination, and evaluation bias [5].

2.3 AI Audits

AI audits are meant to be independent evaluations of a model's processes and outputs to highlight potential concerns to stakeholders [2, 32]. LLM audits may be specific to an application or may focus on surfacing specific harms like discrimination, privacy and security gaps, prevention of misleading or malicious information generation, and other negative human impacts. Because LLMs may be used for a variety of tasks, and no audit can comprehensively evaluate all potential tasks, audits provide a limited window of LLM capabilities and concerns. Audits may help spot check LLM4VIS applications for a defined set of query-visualization pairs, but a limited variety of data visualizations may be tested as part of an audit.

2.4 Metamorphic Testing

Lastly we explore the adaptation of software testing practices to both visualizations and LLMs. McNutt et al. suggest looking to metamorphic testing as a way to surface visualization mirages [31]. Metamorphic testing is a technique often used in software testing that uses properties of a program to generate test cases by varying an input in ways that should have a known effect on the output. McNutt et al. consider the "test oracle problem" (distinguishing between correct and incorrect behavior), by iterating through several input changes and verifying that metamorphic relations should remain invariant across these changes actually do so. In adapting metamorphic testing for LLMs, studies often aim to define metamorphic relations (the input transformations with known effects on the output), which then serve as modular evaluation metrics [16, 24, 29, 54]. While we believe metamorphic testing presents a viable path to more effective evaluations of LLM4VIS, some testing may be slower and prone to error, as not all scenarios (e.g. errors, visualizations mirages) are well-covered.

3 ETHICAL CONSIDERATIONS AND HUMAN-CENTERED TECHNIQUES FOR LLM EVALUATIONS

3.1 Trust and Alignment

Ethical risks associated with technologies are often grouped into two categories: quantifiable"hard impacts" (e.g. biased hiring, privacy failures, environmental impacts, etc.) and less measurable, but nonetheless significant, "soft impacts" (e.g. behavior changes, moral implications, overreliance, etc.) [48, 50, 51]. Tigard et al. [50] note the difficulty of evaluating soft impacts and advocate for embedding ethical considerations into the model development process to better align within the context of the model and potentially preempt some concerns, but note the challenges inherent in attempting to identify a wide array of potential social, moral, and ethical choices and implications. Here we discuss the role of ethics- and human-centered evaluation techniques and consider their application in evaluating LLM4VIS.

3.1.1 User Trust Measurement and Manipulation. Guo et al. discuss the importance of explanations and interactivity in building user trust, noting specifically that visualizations help with interpretation and promote trust [17]. While reinforcing the importance of performance metrics and visualizations, other work also found that including transparency features increased user trust and their understanding of an AI model [11]. Zheng et al. develop a framework to evaluate whether an LLM's response aligns with its intrinsic knowledge as a way to gauge trustworthiness [62]. Shang et al. studied affective and cognitive trust in LLMs and found that GPT models were able to manipulate trust via cognitive and affective routes in diverse contexts like emotional support, technical aid, and social planning [44]. Any evaluation of LLM4VIS should take this into account and test not just for correctness, since, as we know from McNutt et al. technically correct charts could still be misleading [31], but also for trustworthiness.

3.1.2 LLM Alignment and Misalignment. Z. Wang et al. survey approaches to align LLMs with human expectations and identify four tracks: 1) Various types of reward models; 2) Feedback systems; 3) Reinforcement learning; and 4) Optimization [55]. Huang et al. break down hallucinations induced during alignment as resulting from capability misalignment and belief misalignment [23], and note a gap in research related to capability misalignment within LLMs. This is important for LLM4VIS because potential ambiguity in query language could lead to a response that is not aligned with a query goal. As noted in our abstract, differently phrased queries may have the same analytic goal, but at the same time, similarly phased queries may lead to dramatically different results. H.W. Wang et al. gauged various LLMs' perceptual awareness by having them identify takeaways from variously oriented bar charts and found that even leading LLMs struggle with semantic diversity and factual accuracy. Using an example chart and human takeaways helped to induce some alignment, but model were found to be heavily dependent on context rather than data.

3.2 Explainable AI Techniques and Limitations

Cambria et al. surveyed work at the intersection of explainable AI (XAI) and LLMs and found limited work dedicated to developing explanation methods for LLMs [8]. They call on LLM researchers to proactively incorporate explainability practices in LLM design and implementation; they also call on XAI researchers to explore more approachable methodologies. These challenges extend to LLM4VIS.

3.2.1 How Does Traceability Work for LLMs? There has been some work to develop LLM explanations through attribution, though this is limited to text responses [15]. Others trace LLM-generated code back to user requirements [35]. While there were many limitations to these traceability efforts, the work showed promise in improving LLM responses. Traceability is especially applicable to LLM4VIS because of the complex paths LLMs take to generate visualizations through reasoning and code generation.

3.2.2 How Does Accountability Work for LLMs? Understanding how accountability is parsed when a consequence stems from the use of an LLM requires clear institutional boundaries, and may rely on external evaluations like red-teaming and auditing to act as an enabling and reinforcing support structure [3, 26, 33]. Anderljung et al. organmize six requirements for providing this external scrutiny of fronteir models such as LLMs: Access, Searching attitude, Proportionality to the risks, Independence, Resources, and Expertise, calling for the application of this ASPIRE framework. We consider accountability to be important in LLM4VIS because the potential for negative human impact is high when users depend on LLMs for unverified analysis in high stakes scenarios in fields like healthcare, finance, and education.

3.2.3 Presenting LLM Uncertainty and Confidence. Another approach taken to evaluate LLM responses involves quantifying their predictive uncertainty [1, 57, 59], by estimating epistemic uncertainty stemming from a lack of knowledge (unknown unknowns) and/or aleatoric uncertainty stemming from irreducible randomness (such as when multiple answers or interpretations are possible).

Similarly, various studies have attempted to produce confidence scores for LLM results [6, 47, 53, 56]. Sun et al. evaluate four methods for estimating confidence scores based on softmax, raw token scores, verbalized confidences, and a combination of these methods [47].

All of these approaches are couched in the distinction between open-source and proprietary models, which have different limits on uncertainty and confidence estimation, and may be further limited by application in contexts where ground truth may be harder to quantify. Ehsan et al. also point to unanticipated and unintended negative downstream effects from adding AI explanations [12]. Still, providing this information is especially important in LLM4VIS where model uncertainty or confidence in a response could make a large difference in how users make use of the visualization.

4 DISCUSSION

Having reviewed the wide array of approaches to evaluating LLMs, and the specific complexities of LLM4VIS, We call for deeper consideration of the issue of plausible but incorrect LLM responses when developing LLM evaluations. Ideally, evaluations are centered around specific goals which are grounded in human impact, and in evaluating a model against these goals, we should consider how something is optimized as well as what is being optimized. We believe this to be important because users may be less likely to apply a burdensome process, especially when a plausible looking LLM response is so readily and nearly instantly available.

The domain of application also comes with specific constraints and nuances that affect evaluation. When LLMs are evaluated on tasks in certain fields like medicine, finance, education, not only do additional privacy, security, and ethical considerations apply, human impact becomes more central and potentially harder to fully quantify. It is even more important in these cases that we go beyond plausibility and verify responses.

Because no one evaluation approach can cover more than a limited scope of testing, we consider a combination of traditional and ethical, and human-centered techniques in evaluating LLM4VIS applications. This could include using alignment techniques coupled with benchmark testing in initial stages of development, presenting uncertainty metrics to users with each model response, and incorporating well-defined accountability and remediation procedures once deployed, along with audits as a way to spot check applications.

We call for testing to evaluate how combinations of techniques can help mitigate the risks associated with LLM4VIS applications, while addressing the plausibility problem.

5 CONCLUSION

With the somewhat inherent trust placed on seemingly objective data visualizations [10, 37], it is increasingly important to develop effective evaluations of LLM4VIS. We believe that no single evaluation technique can address the most significant risks associated with LLM4VIS, which could include negative consequences for individuals and groups when applied in healthcare, financial, and other high-impact sectors. We call instead for the development of combinations of the discussed techniques.

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